

**1. FORMER OR RESIGNING CUSTODIAN (THE "ASSIGNOR") – CURRENT REGISTRATION INFORMATION**

Assignor Name \_\_\_\_\_

Owner (Beneficial Owner) \_\_\_\_\_ SSN/Tax ID \_\_\_\_\_

FS Account Number \_\_\_\_\_ Assignor Custodial Account Number \_\_\_\_\_  
(Can be found on quarterly statement)

The Assignor acting as Custodian hereby assigns to the Assignee (as defined below) 100% of the Assignor's right, title and interest in the fund(s) described herein. The undersigned hereby authorizes and instructs such fund(s) to transfer the above-referenced shares to the Assignee on the books of record of the fund(s) with full power of substitution and resubstitution.

Authorized Custodian Signature/Medallion Signature Guarantee  
\_\_\_\_\_

Investor Signature  
\_\_\_\_\_

**2. NEW CUSTODIAN (THE "ASSIGNEE") REGISTRATION**

Assignee Name \_\_\_\_\_ Phone \_\_\_\_\_

Assignee Address \_\_\_\_\_  
(Street) (City/State) (Zip)

Assignee Tax ID \_\_\_\_\_ Assignee Custodial Account Number \_\_\_\_\_

New Broker Dealer/RIA Firm Name \_\_\_\_\_ Phone \_\_\_\_\_  
(if applicable)

New Financial Advisor /Investor Representative Name \_\_\_\_\_  
(if applicable) (First, Middle, Last) (Zip)

Mailing Address \_\_\_\_\_  
(Street) (City/State) (Zip)

Branch Number \_\_\_\_\_ Phone \_\_\_\_\_

Advisor Number \_\_\_\_\_ Email Address \_\_\_\_\_

The undersigned broker dealer, financial advisor or registered investment adviser represents and warrants that he or she has reasonable grounds to believe that this investment is suitable for the investor. The broker dealer, financial advisor or registered investment adviser further represents and certifies his or her adherence to all applicable policies and procedures under his or her firm's existing Anti-Money Laundering Program and Customer Identification Program.

Fund transfer form/reregistration form/broker-dealer transfer form or paperwork should have the receiving custodial stamp and investor signature OR have the resigning custodial stamp and receiving custodial stamp.

Authorized Custodian Signature/Medallion Signature Guarantee  
\_\_\_\_\_

**Original medallion stamp guarantee required**

**Return to:**  
Freedom Capital Corporation  
PO Box 2175  
Milwaukee, WI 53201

**Overnight Delivery:**  
Freedom Capital Corporation  
c/o UMB Fund Services, Inc.  
235 West Galena St.  
Milwaukee, WI 53233

Toll-free: 877-672-1776